# Annual Research Plans for 2026-27

Guidance on the Content of Your ARP



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# **Guidance for those completing an ARP for 2026-27**

This document provides guidance on the content you should provide in your Annual Research Plan for academic year 2026-27.

Since 2015-16, Annual Research Plans (ARPs) have been an important element of GSA's Activity Planning processes. Research time allocated in response to your ARP will be factored into your activity planning for the next academic year.

From 2026-27, ARP completion is mandatory for:

- Grade 7 or higher FT or PT teaching and research staff with an academic contract (0.2FTE or greater) and a demonstrable track record of research, who are seeking research time
- All 'research only' staff and those in research-intensive roles (i.e. roles with above GSA's normative 20% research time allocation)
- Research active staff in management roles (neither teaching nor research) e.g. Heads of Schools, who are seeking research time

All staff seeking research time in 2026-27 must complete an ARP by 16th March 2026.

Your Annual Research Plan should be submitted using the online form on the GSA research repository, RADAR. For guidance on how to use the submission system, please see *How to Complete Your Annual Research Plan in RADAR*, available at <a href="http://radar.gsa.ac.uk/4881/">http://radar.gsa.ac.uk/4881/</a>.

As in previous years, you should deposit completed outputs in RADAR (<a href="https://radar.gsa.ac.uk/contact.html">https://radar.gsa.ac.uk/contact.html</a>) before including them in your ARP. If you describe a completed output in your ARP that is not available in RADAR, the reviewers will disregard it.

#### **Review Process**

For ARPs submitted by 16th March 2026, we aim to confirm results and provide feedback by 27th May 2026

Aligning with best practice in the sector, reviews are undertaken by members of GSA's Peer Review College, all of whom are experienced research peer reviewers. Peer review ensures that the ARP process is consistent and equitable for all staff. Each ARP is reviewed by two reviewers, a designated First and Second Reviewer. First Reviewers collate and summarise the feedback concisely, which is then moderated via a chaired panel, to ensure it is issued to colleagues promptly and reliably. Conflicts of interest (such as line management relationships) are avoided when ARPs are allocated to reviewers.

Based on the review panel's assessment, you will be recommended for 'research time' or 'no research time' for academic year 2026-27. For a member of staff in a standard teaching and research role, a 'research time' outcome corresponds to around 20% of their hours. This must be scheduled at times agreed with your line manager as part of activity planning – either regularly throughout the year or in concentrated blocks of time, depending on your responsibilities and the demands of the academic calendar.

#### Research Time (20%)

To qualify for research time, staff must present a convincing plan for good quality research outputs and/or projects, articulated as such, plus evidence of completed outputs and projects that have been

undertaken competently and independently, and which meet the definition of research (see p.3, below). Active academic researchers, including Early Career Researchers, should be able to make a case for research time, if they follow the guidance in this document and demonstrate that the work they are doing constitutes research of a suitable standard. For teaching and research staff, a 'research time' ARP outcome should result in approximately 20% of contracted hours being allocated for research. For those who are already in more research-intensive or research only roles, research time corresponds to the time available within their role to undertake independent research.

# No Research Time (0%)

ARP authors may not qualify for any research time where they have demonstrated limited understanding of research or provided minimal evidence that they have undertaken or have feasibly planned to undertake research of an appropriate standard.

#### **Outcomes**

Researchers will be notified when their ARP outcome and feedback is available to view on RADAR (it will appear at the end of their completed ARP). Heads of Schools, line managers and convenors of each School's Research Sub-committee will also receive notification of ARP outcomes in their areas.

Please note that as of 2026-27 (the ARPs completed in 2025-26), ARPs will be shared with relevant leadership and management colleagues and with line managers.

If ARPs are submitted late due to Mitigating Circumstances (where the circumstances allow), deadlines for review and communication of outcomes will be agreed with the staff concerned, so that they can plan accordingly, and keep their line manager informed. Please refer to page 12 for further information on mitigating circumstances.

### A note on Research Independence

Staff in research only roles should demonstrate research independence through the information provided in their ARP. Research Independence is demonstrated by an individual who conducts self-directed research rather than carrying out another person's research programme. Indicators of that status include leading externally funded projects as a principal investigator, holding an independently won fellowship, supervising doctoral students, acting as a co-investigator with significant input into project design, leading a research group or a substantial work package on a funded project.

#### **Definition of Research**

When considering your ARP, reviewers will expect your work to meet the REF definition of research, which is: a process of investigation leading to new insights, effectively shared. It is your responsibility to present work in your ARP that meets this definition.

- A process of investigation or inquiry can be demonstrated with reference to research questions, strategy and methodology.
- New insights should add to academic knowledge, in a form that other scholars can engage
  with. Your research should have the potential to be drawn and built upon by others and to
  contribute to future research in the field.
- Other scholars can only respond to your work if they know about it, so you must ensure you have disseminated it appropriately i.e. your research must enter the public domain,

through publication (usually via an appropriate academic, peer-reviewed process), exhibition or other professional research platform.

The quality of research will be considered with reference to REF criteria, that is in terms of its **originality**, **significance** and **rigour**:

- Originality is the extent to which your output can be shown to have made a new and original contribution to knowledge and understanding in the field. What insights, critiques or knowledge have you contributed that other researchers have not previously?
  Such originality could take a variety of forms, for example: new arguments or forms of expression, interpretation and insight; engagement with new or complex problems; development, or novel application, of innovative research methods or techniques; generation and analysis of new data; advancement or critique of theory, or paradigms; new forms or methods of creative practice that are original in imaginative scope and meaning; new archival evidence that you have researched and interpreted using an appropriate methodology; the application of theories or knowledge to new contexts; incremental development of previous research.
- **Significance** should be understood as the extent to which your work has influenced (or has the potential to influence) knowledge, scholarly thought or the development and understanding of policy and/or practice. For instance, can you show that it has become a point of reference for subsequent work by others, or stimulated debate in your subject area?
- Rigour is the degree to which the work demonstrates intellectual coherence and integrity, as
  well as using robust and appropriate (and ethical) theories, methodologies, concepts, sources
  and analyses that ensure the reliability and potential reproducibility or transferability of
  the findings.

Research can take many forms and result in a wide range of outputs including (but not limited to): monographs, journal articles, edited collections, journal special issues, artefacts, bodies of creative practice, exhibitions, images, performances, designs, reports and audio-visual or non-print media. The mode of research or format of an output is not an indicator of its quality.

#### **Before You Start Your ARP in RADAR**

You can save yourself time and effort if you complete the following steps before you create a new ARP for 2026-27 in RADAR:

- Create or update the Research Profile section of your RADAR homepage ('Edit Profile' button) before you create a new ARP. If you do so, section 2 of your ARP form will be automatically pre-populated for you. A guide is available on creating a profile in RADAR.
- Make sure that any completed outputs that you intend to highlight in your ARP have been
  entered as research outputs into RADAR. This is essential. Please do so as soon as possible, for
  the RADAR team have a large volume of work to manage.
- If you ensure that your RADAR entries effectively articulate the research (as defined above), then you will not need to provide that information at length within your ARP.
- Read this document in full for guidance on the content you should provide in each section of the ARP, before you start completing it.
- Read the accompanying document, *How to Complete Your Annual Research Plan in RADAR* <a href="http://radar.gsa.ac.uk/4881/">http://radar.gsa.ac.uk/4881/</a>, for technical guidance on how to use the online form for

- drafting and submitting your ARP. This also includes instructions on how to use a previous ARP as a template for your new one.
- If you would prefer to draft your ARP offline before you copy it to RADAR, a Word template is available for this purpose at <a href="http://radar.gsa.ac.uk/6042/">http://radar.gsa.ac.uk/6042/</a>

# Completing the 2026-27 ARP Form via RADAR

First, log in to RADAR (<u>radar.gsa.ac.uk</u>), go to your Homepage section, and create a new ARP for 2026-27. Before you start to complete the form, make sure you are familiar with all of the sections, and the information that we are asking you to provide in each. By planning ahead, you can avoid having to repeat information in multiple sections. The sections, described in this document, are as follows:

- Section 1. Personal Details
- Section 2. Research Profile, Focus and Expertise (based on your existing Research Profile in RADAR)
- Section 3. Update on Objectives in Previous ARP
- Section 4. Best Completed Research Outputs (focusing primarily on the last three years)
- Section 5. Funded Research Projects (current, forthcoming)
- Section 6. Forthcoming Outputs
- Section 7. PGR Supervision
- Section 8. Research Environment
- Section 9. Research Impact, Achieved or Planned
- Section 10. Longer Term Research Plans (and training and development needs)

Remember to save your work regularly using the 'Save and Return' button. (Note that clicking the 'Next' page button on each page does not automatically save the content of the current page.)

# Your Annual Research Plan

#### **Section 1: Personal Details**

# **Guidance notes:**

- For 'Year', select '2026-27'.
- Please provide your name, position / job title, School and the amount of time that you are employed to work at GSA, expressed in terms of full-time equivalent (e.g. 0.6 FTE = 3 days perweek).
- Please indicate if you have a mentor. If you selected the "Other" option for a mentor, please provide further details on the next screen.
- Please indicate if you are an Early Career Researcher (ECR). Please note, we are using the Arts and Humanities Research Council's (AHRC) definition of ECR, which is someone who is either:
  - a) within eight years of their PhD award (this is from the time of the PhD 'viva' oral test), or equivalent professional training; or
  - b) within six years of their first academic appointment (the first full or part time paid employment contract that lists research or teaching as the primary function).

These periods exclude any career break, for example due to family care or health reasons.

We recognise that some GSA researchers have come to academia via alternative career paths, which might not align with the AHRC ECR criteria. If you do not meet the AHRC definition but you still consider yourself to be an ECR, please provide a justification.

- 'Current allocation of research time' corresponds to the outcome of your last ARP. (**Note**: If you did not submit an ARP for 2025-26, then select 'No Time', and explain your ARP track record in Section 3; if this is your first ARP, the system won't allow you to leave this as 'unspecified'. If you are in a research-only or research-intensive academic role and did not submit an ARP in the previous year, you may select 'Normative').
- Those (such as Research Fellows and Senior Researchers) in research-only or research-intensive academic roles that already involve research, knowledge exchange or impact as main duties must complete an ARP for academic year 2026-27 and select 'Normative'. The ARP will not result in even more time for research if that is already your main job; but the outcome could clarify the time available for a researcher to work independently on their own research projects, rather than carrying out another researcher's programme.

# Section 2: Research Profile, Focus and Expertise

The purpose of this section is to give reviewers an overview of your research interests and experience. Note that if you have already completed the Research Profile section of your RADAR Homepage (see 'Before you start your ARP', above), that information will automatically appear in this section of your ARP. Any subsequent edits you make here will not then also appear in your public RADAR profile. If you create your ARP before you have created a RADAR Profile, then the section will be blank, and you will need to add new content.

The purpose of this section is to give reviewers an overview of your research interests and experience, by way of introduction. This will allow them to consider how the details in the rest of your ARP relate to your field and expertise. We do not require more information than you would choose to include on your public profile. You do not need to use the full 500-word capacity (around 150 should suffice for most researchers).

#### **Section 3: Update on Objectives in Previous ARPs**

In this section, you should provide a clear, concise summary of your progress towards any objectives described in your last ARP(s) – particularly in the Forthcoming Outputs and Funded Research Projects sections. Please do not provide a detailed narrative account, particularly of any outputs or activities that you will describe fully in later sections of this form. A list of relevant items, with a short note on progress will be sufficient. You can also note if your plans have changed (perhaps an opportunity arose to get involved in a new project), or if unforeseen challenges hampered your progress.

If this is your first ARP (or the first for several years), note any relevant research you have undertaken during the last two to three years.

In the section "How have you acted on the feedback in your last ARP?", please describe how you have acted on the feedback you received. If this is your first ARP, simply state this.

# **Section 4: Your Best Completed Research Outputs**

From the outputs that you have listed in RADAR, please select those that you consider to be the best examples of your research, with the emphasis on those that were completed (or first made publicly

available) in the past two to three years. While there is no minimum number of outputs, please do not include more than four. We recognise that high quality research takes time to come to fruition and are interested in what you regard as your highest quality examples. Make sure that any outputs described in this section have first been added to RADAR.

#### **Guidance notes:**

#### **Which Outputs to Include**

- This is one of the most important sections of your ARP, since arguably only your completed work can give a reliable indication of your track record, the quality of your research activities and your potential to complete planned research.
- In this section, we are looking for what you consider to be the best *research* outputs that you have completed, with an emphasis on the past two to three years.
- Any outputs that you include in this section must have been deposited in RADAR and must include the RADAR 'Output ID'. This will enable peer reviewers to click through to the full description of the cited output on RADAR. (The Output ID is the four or five-digit number that can be found in the 'Details' tab of your deposited output on RADAR). An example of an Output ID is "4881", for the output https://radar.gsa.ac.uk/4881/.
- If you include a completed output in your ARP without first adding it to RADAR, the reviewers will essentially exclude it from their assessment.
- The maximum number of completed outputs that the ARP form will accept is four. Use your judgement; quality and potential are more important than quantity.

# **Presenting Your Outputs**

The reviewers' objective is to ascertain the nature, context and quality of the research enquiry
and outcomes. They are also interested in supporting information, such as the nature of your
contribution to the research and output (if they were jointly produced), and whether your work
was subject to peer review (if applicable).

Aim to provide reviewers with the following information:

- Output type
- Nature of your contribution (if co-authored or co-produced)
- Word count, type of publication and name of journal/publisher (for textual outputs)
- Medium, research field, exhibition/dissemination plans (for practice-based outputs see next section)
- Details of any peer review process
- Research questions
- If the RADAR entry for your output effectively demonstrates the nature and quality of the research, then you need only provide a concise summary in your ARP and the link to the item in RADAR (and that is the reviewers' strong preference). If this is not wholly apparent in RADAR, then you will need to provide a more detailed articulation of the research in Section

4 of your ARP.

#### **Practice-Based Research**

If you undertake research through creative practice, you should place it within a research context and explain how it contributes new insights and knowledge to the field.

Creative practice does not self-evidently equate to research. Explaining and demonstrating the research dimensions of your work is a fundamental skill that you need to build a successful research career.

The research itself may not take a linear or sequential form, and questions and findings may emerge though the process of creating work, but you should articulate the research inquiry and outcomes, even if to some extent you must do so retrospectively. The research must be to the fore in any explanation, so the narrative may differ from descriptions of your work used in other contexts, such as exhibition catalogues and artists' statements (please avoid copying and pasting directly from other sources, without modification, unless they articulate research).

Your research inquiry might not be fully reflected in one individual output (artwork, design, exhibition, written work etc.), but through a body of work over time, with common themes and questions running through it. If that is the case, please use one of the 'Best Completed Research Output' fields in section 4 to explain how a collection of outputs represents a single body of research.

When contextualising your research within its field, consider whether the subject of your research is something other than your creative discipline, even if your methodology involves investigating the subject through that discipline. You could be an artist who researches community engagement through art practice, for example, or a designer who investigates patient experience in healthcare through design practice.

# **Section 5: Funded Research Projects**

Please provide details of any funded research projects in which you are currently involved and indicate your role. Please do not list completed projects. Please include relevant projects that are already being undertaken ('Live'), proposals that have been submitted ('Pending') or new projects and proposals for external funding that you are actively planning or preparing ('Development' – preferably at a more advanced stage than only the initial idea). Please include the funder targeted.

#### **Guidance notes:**

- In this section, we are interested in well-defined and articulated activities that address explicit research questions, are positioned in relation to relevant fields and employ an appropriate methodology. You should also have a plan for undertaking the research. Please explain these points in your description.
- Please enter details of the funder in the 'Funder' box.
- Use the 'Status' drop-down menu to indicate if each project is underway ('Live'), awaiting a
  response to a grant application or equivalent decision ('Pending') or a new project or proposal
  that you are actively planning or preparing, e.g. grant application being written
  ('Development').
- State your role and level of contribution, and the name of the project lead (if it is not you).
- Provide details of any partners with whom you are collaborating.
- Where applicable, give details of any research funding awarded or applied for.

- Provide details of project timetables and indicate any work you intend to undertake during the forthcoming ARP period (summer 2026 to summer 2027).
- Please do not include details of completed projects in this section. The results of completed projects should be reflected in your Completed Outputs, Forthcoming Outputs and Research Impact sections.
- Do not include details of very early stage, speculative or aspirational project ideas in this section, as reviewers will be unable to assess these. Ideas for future research projects that you are not yet actively developing should be included in Section 10 instead.

# **Section 6: Forthcoming Outputs**

Please describe any planned research outputs that you will work on or complete during the forthcoming ARP period (mainly 2026-27). Please indicate if there is already a confirmed route to dissemination (e.g. paper accepted, publishing contract awarded, exhibition date and venue confirmed).

#### **Guidance notes:**

- In this section, you should emphasise outputs that you will be working on in the forthcoming ARP period, and those that are completed and due to be published or to otherwise enter the public domain for the first time in 2026-27.
- See 'Describing Your Outputs' in Section 4 above: most of the same criteria apply to your forthcoming outputs.
- Indicate if there is already a confirmed route to dissemination -- e.g. paper accepted, target journals identified, publishing contract awarded, exhibition date and venue confirmed.
- State expected dates of completion/publication.
- Include details on peer review, output type and (for textual outputs) word count etc.

Consider what is realistically achievable. As with Section 4, Reviewers are more interested in quality than quantity for the sake of it.

#### **Section 7. Current PGR students**

All HEIs have a responsibility to support and develop the next generation of researchers in their disciplines and fields. PhD and MRes supervisions are an important and rewarding activity for all researchers, including ECRs and are a key aspect of researcher development. Please provide details of current supervised Doctoral or MRes students and their status (if applicable)

#### **Guidance notes:**

- Add details of any current PhD or MRes supervision duties at GSA.
- If you are not currently involved in supervision, leave this section blank.

#### **Section 8: Research environment**

This section includes three sub-sections that ask you to summarise your recent and planned researcher training and development, your contributions to GSA's research culture and your wider contributions to the external research environment.

#### **Guidance notes:**

- As in section 3, concise lists of relevant activities and achievements, with accompanying notes, is preferred.
- a) Training and Development: Please detail your recent or planned involvement in training or development activities which aid your research or career development i.e. attending training or development sessions, presentation at a conference, exhibition or other, and/or engagement in mentoring scheme.
- b) Overall Contribution to GSA Research Culture: Please detail your recent or planned contribution to GSA's research culture and Environment e.g. delivering SKI sessions, or other GSA talks or research events, acting as a research mentor, participating in GSA's research committees, engaging in research degree supervision or training, taking part in GSA's Peer Review College.
- c) **Contribution to the External Research Environment**: Please note if you have undertaken any activities such as the following, and indicate the year in which the work took place (or will do):
- Editorship of journals (please specify if referring to special editions)
- Peer review for journals, book publishers or conferences
- Conference chair or organising committee roles
- UKRI Research Council peer review college, or peer review for other national and international grant funding organisations
- Membership of subject associations or academic bodies (e.g. CHEAD, ELIA, Design History Society, Association of Art Historians etc.). Please specify any responsible positions held (e.g. committee membership)
- Responsible roles (e.g. trustee, Board member) with other organisations (e.g. cultural, charitable, civic, industry)
- Residencies or fellowships
- Invited keynotes, lectures or performances (as distinct from papers selected for conferences through peer review)
- Prizes or awards received (or other indicators of recognition and esteem)
- External examination of research students, or supervision of research students at other institutions.
- Visiting Professorships
- PhD examination
- Other

#### **Section 9: Research impact**

This section includes two sub-sections:

- 9a. **Knowledge Exchange and Public Engagement**: Please give details of any plans you have for engaging with people or organisations outside of GSA and list any non-academic partner organisations that you are or have collaborated with through your academic research.
- 9b. **Research Impact**: If applicable, please give details of any impact your research has had (or may have) on individuals, groups or organisations beyond academia.

#### **Guidance notes:**

Use this section to tell us about any notable research impact or engagement activities that you have completed (and briefly note the outcomes) or are planning.

By **engagement**, we mean the appropriate dissemination of research to different audiences – particularly non-academic groups – and any actions taken to help them understand and respond to the work (e.g. through media coverage, presentations at events, workshops, reports or guidance materials produced for industry or public bodies etc.)

By **impact**, we mean a resulting effect on, change or benefit to people or organisations who engaged with your research – what was the difference that your research made, and what evidence do we have for that change?

Research impact can be defined as an effect on, change or benefit to the economy, society, culture, public policy or services, health, the environment or quality of life, beyond academia. It includes (but is not limited to) an effect on, change or benefit to:

- The activity, attitude, awareness, behaviour, capacity, opportunity, performance, policy, practice, process or understanding of an audience, beneficiary, community, constituency, organisation or individuals in any geographic location (whether locally, regionally, nationally or internationally).
- It may also include the reduction or prevention of harm, risk, cost or other negative effects.
- It does not primarily include impacts on research or academic knowledge within the higher education sector.

Please indicate where you hold (or could obtain) records providing evidence of the impact.

As in section 3, a concise list of completed and planned activities with accompanying notes will be acceptable.

# **Section 10: Longer-term research plans**

Please describe your longer-term research plans and aspirations for the period after summer 2027. Be as specific as possible, including plans for external funding applications over the next 2-3 years, and indicate when you aim to complete your objectives.

#### **Guidance notes:**

This section is important to GSA and the reviewers, who are interested in your potential research trajectory, and the implications for GSA's research environment, culture and plans. Evidence that you are thinking seriously about your longer-term research plans may help to justify investment in your time to undertake research.

Include longer-term research plans and aspirations for the period after summer 2027. What are your ambitions for your future development as a researcher, over the subsequent three to five years? For example: research projects you hope to undertake and complete, grants you aim to apply for, publications you intend to write (and for whom), artefacts you plan to produce (but which are at a more embryonic stage than those included in earlier sections), or plans to apply for a Readership or Professorship. Also consider people or organisations you would like to collaborate with, forms of experience that you hope to gain or roles you aim to hold (e.g. peer reviewing, editorships).

If you wish, include a note on any development, mentoring or training needs.

Be as specific as possible and indicate when you aim to complete your objectives.

For earlier career researchers, you might find it helpful to consider the Researcher Development Framework materials that are available via GSA's subscription to Vitae

https://www.vitae.ac.uk/researchers-professional-development . (You will need to register individually, using your GSA email address.)

Vitae's advice to researchers is to set goals that are:

- explicit, with a clear focus, expressed as succinctly as possible;
- inspiring and framed positively, to provide motivation;
- measurable, so that you can track progress;
- challenging, so that you can stretch yourself;
- and with a defined timetable for short-to-medium term objectives.

# **Mitigating Circumstances**

Use the mitigating circumstances form to inform GSA of recognisably disruptive or unexpected events beyond your control that have:

- Significantly impaired your ability to undertake research
- Significantly impaired your ability to complete your ARP form by the deadline (16<sup>th</sup> March 2026).

The ARP form is permanently available on GSA's Research Repository, RADAR, and can be drafted or completed at any time. You do not have to wait until the ARP process is launched to begin working on your ARP.

The ARP process is formally launched in November each academic year, with a submission date in March, providing a generous window of time to draft and submit ARPs, working around other commitments. For the academic year in 2026-27, the ARP process will launch on 27th November 2025, and the submission window will run until the deadline of Monday 16th March 2026, i.e. for a period of four months.

If you wish to apply for the opportunity to submit your ARP at a later date due to mitigating circumstances, please submit a mitigating circumstances form as soon as possible, and **no later than Tuesday 17th February 2026**, i.e. one month before the ARP submission deadline. Please be assured that the information you provide through this process is confidential.

The maximum extension that will be granted for late submission of an ARP due to mitigating circumstances is four weeks (although most extensions will be much shorter). The latest anyone can submit an ARP for research time in 2026-27 is therefore Monday 13th April 2026. (Please note: For very exceptional circumstances, the mitigating circumstances submission deadline and the extension deadline may be extended beyond four weeks.)

Once submitted, exceptional circumstances are considered by the nominated HR staff member and there are three potential outcomes: 1) extension request is approved; 2) extension request is declined; or 3) further information is required before a decision can be reached.

If you are granted an extension, but you do not submit an ARP by your extension deadline, you will not be eligible to participate in the ARP process for research time in 2026-27, and you will not receive research time for that year.

This is to ensure that:

- the ARP mitigating circumstances process (and the ARP process as a whole) is run consistently, fairly and equitably;
- the ARP peer review process is conducted efficiently, within a single academic year;
- and research time decisions can be issued to Heads of Schools and line managers timeously,
   to enable effective planning and resource allocation for the next academic year.

Acceptable reasons for submitting a mitigating circumstances form include:

- serious or incapacitating injury, illness or medical condition or emergency operation
- significant short-term mental ill-health
- a long-term or chronic physical health condition, which has recently worsened significantly
- a long term or chronic mental health condition, which has recently worsened significantly
- a significant family or personal crisis (e.g. bereavement or serious illness affecting someone close to you, such as a family member, partner or friend)
- Jury service or court attendance.

Staff should provide HR with relevant evidence to support their extension requests (e.g. GP, hospital, or therapist documentation for health matters).

The mitigating circumstances form is available on the Research section of the staff intranet in the Annual Research Plans section:

https://gsofa.sharepoint.com/sites/intranet/ProfessionalSupport/Research/Pages/ARPs.aspx

The form should be sent to HR. HR will then recommend a course of action to the Research Department – such as a submission extension – without sharing any sensitive information. Further information about the mitigating circumstances process for Annual Research Plan submission is available via the FAQs in the Annual Research Plans section of the staff intranet.

# Appeals Processes for Mitigating Circumstances and Award of Research Time via an ARP

# **Appeals Process for Mitigating Circumstances**

An appeals process is available for staff who submit a mitigating circumstances form, if they believe that they were not treated fairly and in accordance with the mitigating circumstances process set out above. Any appeal should be submitted in writing to the Human Resources department within 5 working days of the Mitigating Circumstances outcome having been received. The grounds for an appeal should typically fall within one of the following:

- Procedural error
- The outcome does not reasonably reflect the issues reviewed
- New information is now available which could not have reasonably be provided when the original outcome was communicated

HR will designate an appropriate member of the HR team to review the mitigating circumstances form and any extension granted. The member of staff reviewing the mitigating circumstances form will not have had any previous involvement in the case. If the designated HR team member concludes that there are legitimate grounds for the appeal, then the decision on the mitigating circumstances extension outcome and supporting information will be reviewed. The outcome of the review will be communicated to the staff member within 5 working days and all appeal decisions of the mitigating circumstances process will be final.

# Appeals Process for Award of Research Time via an ARP

An appeals process is available for staff who participate in the ARP process if they believe that they were not treated fairly and in accordance with processes set out in this ARP Guidance document. The grounds for an appeal should typically fall within one of the following:

- Procedural error
- Suspected unlawful discrimination on the basis of age, disability, gender identity, marriage and civil partnership, race, religion or belief, sex or sexual orientation, or because the individual concerned is pregnant or has recently given birth.

The appeals process consists of an informal stage and a formal stage:

# Stage 1: Informal

At Stage 1, we will attempt to resolve any relevant concerns through informal discussion. A member of staff may raise an informal appeal by writing to <a href="mailto:research@gsa.ac.uk">research@gsa.ac.uk</a>. Any appeal should be made within 21 days of receiving formal notification of their ARP outcome. An advisory meeting will be arranged between the member of staff and Head of Research to review the case informally, within 14 days of receipt of the appeal. A work colleague or trade union representative may accompany the member of staff to the meeting. The Research Department will maintain a record of all such stage 1 appeal requests, and the Head of Research will provide the member of staff with a written summary of the outcome of the advisory meeting (within 7 days of the meeting date), and the right to respond. At

that point, the member of staff may either withdraw the appeal (if it is agreed that the appeal should not be upheld) or request that it progresses to Stage 2.

# **Stage 2: Formal Appeal**

When an appeal request cannot be resolved through informal discussion, the formal appeals process comes into effect. The member of staff making the appeal should make a formal request by email to research@gsa.ac.uk to escalate their appeal to Stage 2, within 14 days of receiving the outcome of stage 1. There is no automatic right to a Stage 2 appeal. The Deputy Director of Research and Innovation will review the evidence presented at Stage 1 and consider whether there is sufficient evidence for the appeal to progress to Stage 2. The DDRI will write to the person making the appeal to inform them of the outcome. Where the Stage 1 appeal was not upheld yet there are thought to be sufficient grounds to progress the appeal, the case will be considered at a formal ARP Appeals Panel, which will be convened for that purpose, within 14 days of receipt of the Stage 2 appeal request. The Appeals Panel will meet under the oversight of the DDRI and will comprise a senior representative from HR and a senior research academic who has not been involved in any prior stage of decision making, or review of the appeal. A work colleague or trade union representative may accompany the member of staff to the meeting. The panel will consider the appeal on the basis of whether due process, as set out in this ARP Guidance document, was followed correctly. Evidence will be sought from those responsible for the relevant stage of decision making. The Appeal Panel's role is to determine whether the appeal should either be upheld or dismissed. If the panel concludes that there are legitimate grounds for the appeal, then the decision on the ARP outcome will be re-assessed by the Appeals Panel, which will then communicate the outcome to the staff member. All decisions of the Stage 2 Appeals Panel will be final.

# **Activity Planning Tool**

An Excel activity planning tool is available from the <u>Annual Research Plans section</u> of the Research pages on the staff intranet, to help you schedule your research and other duties with your line manager before the 2026-27 session begins. An updated version for 2026-27 will be uploaded by June 2026, to coincide with confirmation of the ARP outcomes.

# **Further Guidance**

Guidance on how to submit outputs to RADAR is available from the RADAR Help & Contact Information page at: <a href="http://radar.gsa.ac.uk/contact.html">http://radar.gsa.ac.uk/contact.html</a>

For guidance on how to complete and submit your ARP in RADAR, please see *How to Complete Your Annual Research Plan in RADAR*, available at: <a href="http://radar.gsa.ac.uk/4881/">http://radar.gsa.ac.uk/4881/</a>

These may be updated from time to time, so please check for additional information periodically.

This document was last updated on 20<sup>th</sup> November 2025