

Making your research compliant: the new REF 2029 Open Access policy

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www.gsa.ac.uk

What do the following acronyms stand for?

- AAM:

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- Author Accepted Manuscript
- CC:
 - Creative Commons (Licences)
- ISSN:



International Standard Serial Number

Image by juicy_fish

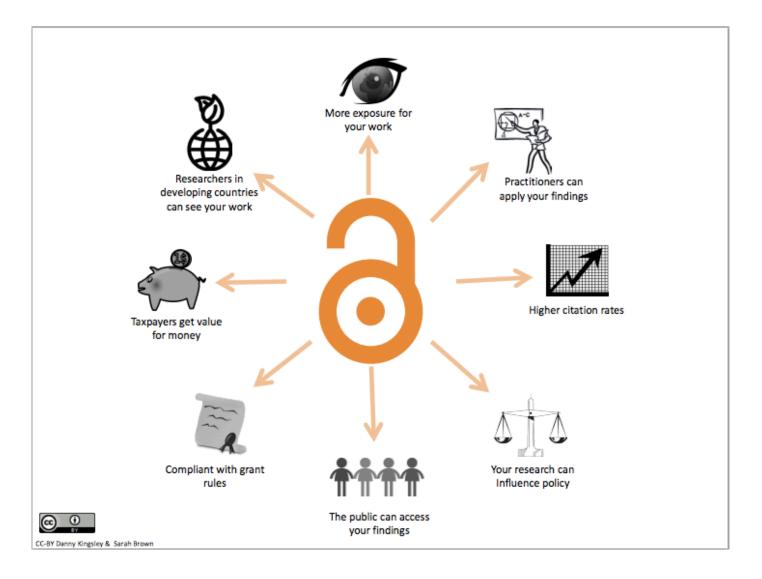
Overview of today's session

- What is Open Access?
- Recent developments
 - What has changed since the REF 2021 OA policy?
- What do I need to do to comply?
- How to identify:
 - your author accepted manuscript (AAM)
 - the 'date of acceptance'
 - publisher embargoes
- OA requirements for UKRI-funded researchers
- Questions and discussion
- Further support and resources

A recap: what is 'Open Access'?

- Open Access (OA) means online access to research outputs, which are free for anyone to view, read and download, without the need to log in or make a payment
- Where possible, Open Access materials should be free of most copyright restrictions. Many researchers use <u>Creative Commons</u> (CC) licences to make potential users aware of *how* they can use the work
 - GSA 'Creative Commons licenses explained' guide: <u>http://radar.gsa.ac.uk/6955/</u>
 - CC licence chooser: <u>https://creativecommons.org/chooser/</u>

Open Access - in a picture



"Green" and "Gold" Open Access

- Two main models of open access:
 - Green OA: author deposits the accepted version of their manuscript in an institutional repository (e.g. <u>RADAR</u>)
 - Gold OA: author publishes in an open access journal, where Article Processing Charges (APCs) may sometimes be charged
 - publisher immediately makes the Version of Record (VoR) available to read and download on their website for free
 - GSA has some <u>'Read and Publish' agreements</u>, where the APC is covered
- GSA's preference is for Green OA



Recent developments

- The <u>REF 2029 OA policy</u> was published on 11 December 2024
- Updates published to policy wording and <u>FAQs</u> in March and May 2025

What's changed since the REF 2021 OA Policy?

- shorter embargo periods (halved from 24 months to 12 months for Art and Design outputs submitted to REF Panel D)
- 'Longform' publications (books and book chapters) are <u>not</u> in-scope of the 2029 policy, but they will be in-scope for the subsequent REF
- new licensing requirements: outputs should be licensed as openly as possible:
 - <u>section 7.5.2</u>, "Outputs should be shared as openly as possible; the funding bodies' strong preference is for licensing as **CC-BY** or other license formats meeting this standard of openness. However, licensing outputs at **CC-BY-NC or CC-BY-ND** (including **CC-BY-NC-ND**) or licenses meeting an equivalent standard of openness are also permitted."
 - Where the accepted manuscript is shared and the publisher does not allow a suitable open licence, the output will not need to meet the licensing requirements. However, the licensing requirements will apply to <u>all</u> in-scope outputs from 1 January 2029

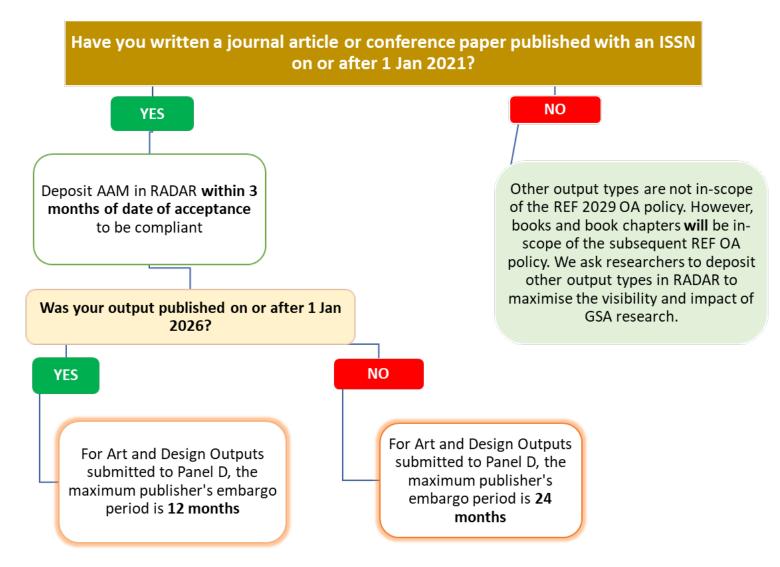


The REF 2029 OA policy only applies to *journal articles* and *conference papers* with an ISSN (International Standard Serial Number)

Image credit: By GNOME icon artists (HTTP / FTP) [CC BY-SA 3.0 (https://creativecommons.org/licenses/by-sa/3.0) or LGPL (http://www.gnu.org/copyleft/lgpl.html)], via Wikimedia Commons

What do I need to do to comply?

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What does this mean for me?

- Potentially informs your choice of journal
 - Use tools such as <u>Jisc Open Policy Finder</u> and the <u>Journal Checker tool</u>
- Remember that you have access to <u>GSA's 'Read</u> and Publish' deals, which enable you to publish 'Gold' OA, essentially for 'free'
 - please contact the RADAR team, ideally as soon as you are thinking of *submitting* to a journal!

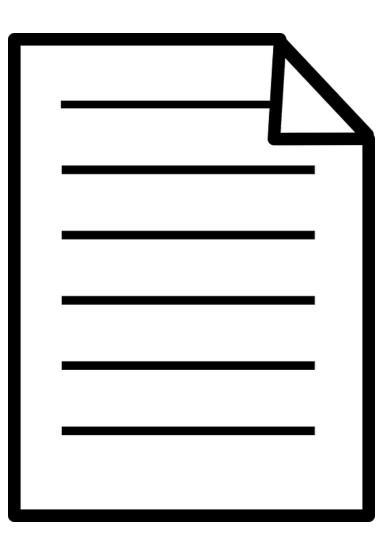
Which version of a paper is the 'author's accepted manuscript' (AAM)?

	2017	V REVIEW OF ACADEMIC LIBRARIANSHIP 7, VOL. 23, NOS. 2–3, 245–257 ss://doi.org/10.1080/13614533.2017.1320766	Taylor & Francis Group
Making the most of what we have got: Enhancing the RADAR institutional repository to support			Check for updates
researchers		laking the Most of What We Have Got: ADAR Repository to Support Research	
Abstract	Da	Dawn Pike and Nicola Jane Siminson	
	Res	search and Enterprise, The Glasgow School of Art, Glasgow, United	Kingdom
This article discusses how RADAR, the institutional repository (IR) at the Glasgow School of Art (GSA),		ABSTRACT	KEYWORDS
has been modified to house an Annual Research Planning (ARP) template. A case study on the		This article discusses how RADAR, the institutional repository (If the Glasgow School of Art (GSA), has been modified to house	R) at Institutional repository; e an researchers; roles; scholarly
implementation of this research planning tool will outline the role that a repository and its staff can		Annual Research Planning (ARP) template. A case study on implementation of this research planning tool will outline the	the communication
play in supporting individuals, enhancing processes and helping to reach strategic institutional goals		that a repository and its staff can play in supporting individe enhancing processes, and helping to reach strategic institution	uals, onal
in preparing for the next REF. The paper will also investigate how the extension of RADAR to		goals in preparing for the next Research Excellence Framew (REF). The paper will also investigate how the extension of RADA	R to
incorporate CRIS-like elements has led to increased user engagement, and has successfully		incorporate Current Research Information System (CRIS) elem- has led to increased user engagement and has success	fully
demonstrated a new use for the repository beyond its scholarly communication function.		demonstrated a new use for the repository beyond its scho communication function.	larly
Keywords	Int Th lea Th are arc Th GS mod of <i>RA</i> RA ing CO Gas	troduction to the Glasgow School of Art the Glasgow School of Art (GSA) was founded in 11 using independent university-level institutions for the the GSA is designated as a Small Specialist Institution the GSA is designated as a Small Specialist Institution the GSA is designated as a Small Specialist Institution the GSA has 185 academic staff, 80% of whom are SA's strategic aims is to further develop its research the end and mentoring of GSA's own research talent and external research funding to support research project MDAR (http://radar.gsa.ac.uk/) is the GSA's institutional ga digital archive of research and enterprise output NTACT Neols are Siminon On Siminon@naminon@naminon@institutional specy School of Mr. 107 Newflew Steet, Glaspow G MG, UK.	e visual creative disciplines. on, and its degree programs 00 students studying across art, and history and theory. research active. One of the profile through the develop- the securing of higher levels ts. onal repository (IR), provid- tt produced by its staff and Repository and Records Manager, The
	© Da	or versions of one or more of the figures in the article can be found online a awn Pike and Nicola Jane Siminson lished with license by Taylor & Francis Group, LLC	at www.tandfonline.com/racl.

- An AAM is usually a plain text document there should be no copy editing or typesetting
- There will usually be no page numbers
- There should be no publisher copyright statement or watermarks
- The text should be searchable

- A Version of Record (VoR) will usually contain:
- the publisher's typesetting
- the publisher's logo
- page numbers
- the publisher's copyright statement

What is the accepted date?



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- The output has been reviewed by the journal or conference (normally via peer review)
- All necessary changes have been made in response to that review
- The paper is ready to be taken through the final steps toward publication (normally copyediting and typesetting).

What if your paper requires an embargo?



- Embargoes are allowed under the REF OA policy.
- For REF Main Panel D outputs the **new** maximum embargo length is 12 months.

Additional UKRI requirements: articles and papers

- From 1 April 2022, all **journal articles and conference papers** with an ISSN that acknowledge UKRI funding must:
 - be made Open Access immediately (this includes both the final published version and the AAM)
 - be published openly under a CC BY 4.0 licence
 - include an <u>ORCiD</u> and a Data Access Statement (even where there are no data associated with the output)
 - example of a Data Access Statement in a UKRI-funded research output: <u>https://radar.gsa.ac.uk/9350/</u>

Funding

The research detailed in this article was funded by the Arts and Humanities Research Council UK between 2017 and 2021: Scotland's Rock Art in Context, Grant Number AH/N00745X/1. Open Access publication was funded by Historic Environment Scotland.

Availability of data and materials

The datasets generated and analysed during the current study are available to search and download from the Scotland's Rock Art Project database and webite: https://www.rockart.scot/rock-art-database and Historic Environment Scotland's digital archive, accessible via the Canmore website: https://canmo re.org.uk/collection/2219572.

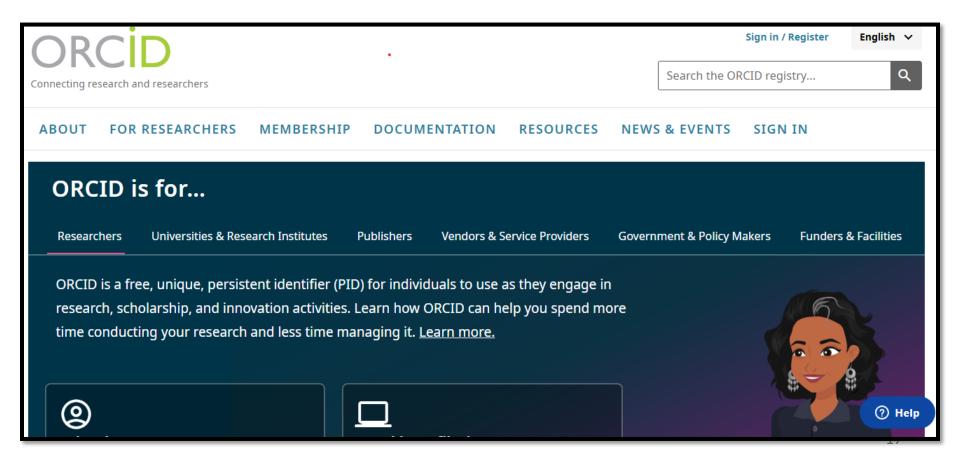
Additional UKRI requirements: books and chapters

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- 'Longform publications', i.e. books, book chapters and edited collections, published <u>on or after 1 January 2024</u>, that acknowledge funding from UKRI or any of its councils, must be made openly available within 12 months of publication
 - the version made available can be either the final published version or the AAM
 - the OA version must be made available under a <u>CC licence</u> (or equivalent open licence, e.g. <u>Open Government Licence</u>)
 - the OA version should include, *where possible*, any images, illustrations, tables and other supporting content
 - ... but third-party materials <u>do not</u> require a CC licence, and can be subject to a more restrictive licence

What is an ORCiD?

- An Open Research and Contributor ID (ORCiD) is a unique, persistent identifier for you as a researcher
 - <u>https://info.orcid.org/benefits-for-researchers/</u>



Example of an ORCiD profile

https://orcid.org/0000-0002-6870-8481

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Daisy Abbott			
bttps://orcid.org/0000-0002-68	370-8481 🖸 🖨	Show record summary	
Personal information	Activities	Expand all	
Emails & domains >	> Employment (2)	 Sort	
Verified email domains			
🤣 gsa.ac.uk	> Education and qualifications (2)	Sort	
Websites & social links >	✓ Works (17)	 Sort	
How to Fail Your Research Degree School of Innovation and Technology			
homepage	Serious Game Rapid Online Co-design to Facilitate Change Within Education		
<u>Games in Higher Education blog</u>	Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lectur Bioinformatics)	e Notes in Show m. ⁽²⁾ Help	

We are your safety net – don't worry!



https://commons.wikimedia.org/wiki/File:Group of Circus Performers WDL10692.png

THE GLASGOW SCHOOL: # ARL

Here to help!

- Karen Veitch
 - Research Information Co-ordinator
- Nicola Siminson
 - Research Information Manager
- Information on <u>Open Access</u> is available via the Research intranet
- Information about RADAR, "How to..." guides, and FAQs are available from the Help & Contact Information page: <u>http://radar.gsa.ac.uk/contact.html</u>
- ... or just email <u>radar@gsa.ac.uk</u> !



Any questions?

